
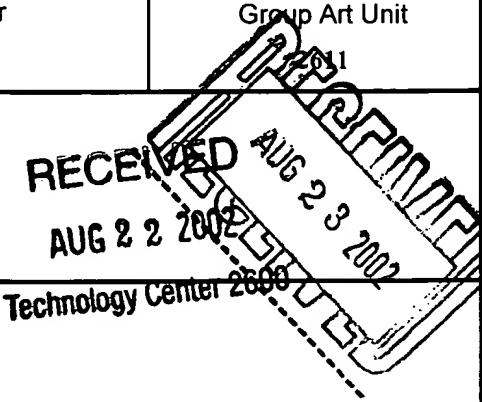


#4
#2602

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3698D6
In Re Application Of: John Hendricks			
Serial No. 09/966,759	Filing Date October 1, 2001	Examiner N/A	Group Art Unit 2602
Title: Tiered Programming Television Delivery System			
			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3698D6

In Re Application: John Hendricks

Serial No.
09/966,759

Filing Date
October 1, 2001

Examiner
N/A

Group Art Unit
2611

Tiered Programming Television Delivery System

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Dated: August 20, 2002

Sung Kim
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McLean, VA 22102
(703) 288-5240
(703) 288-5260 facsimile

cc:

<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. 3698D6	SERIAL NO. 09/966,759
	John Hendricks	
	FILING October 1, 2001	GROUP 2611

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,357,276	10/18/1994	Banker, et al.	725	102	
	2.	5,253,066	10/12/1993	Peter Vogel	725		
	3.	4,996,597	2/26/1991	David Duffield	348		
	4.	5,020,129	5/28/1991	Martin, et al.	725		
	5.	4,975,951	12/4/1990	Christopher Bennett	380	231	
	6.	5,027,400	6/25/1991	Baji, et al.	725	116	
	7.	5,172,413	12/15/1992	Bradley, et al.	725	121	
	8.	5,206,722	4/27/1993	Shue-Yu Kwan	725	100	
	9.	5,247,347	9/21/1993	Litteral, et al.	725	114	
	10.	5,223,924	6/29/1993	Jobst Gellert	425	130	
	11.	5,253,275	10/12/1993	Paul Yeut	375	240	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	JP4233886	8/21/1992	Japan				
	2.	EP0402809A2	12/19/1990	Europe				
	3.	WO 86/01962	3/27/1986	WIPO				
	4.	WO 89/09528	10/5/1989	WIPO				
	5.	WO 90/10988	9/20/1990	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	1.	Marco Dinero et al.; Markets and Product Overview; 1991; Pages 135-148
	2.	John Reimer; Memories on My Pocket; February 1991; Pages 251-258

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;"> INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> </div> <div style="position: absolute; top: 0; left: 0; width: 100px; height: 100px; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px;"> OIP AUG 20 2002 </div>	ATTY DOCKET NO. 3698D6	SERIAL NO. 09/966,759
	John Hendricks	
	FILING October 1, 2001	GROUP 2611

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,343,594	9/6/1994	Youichirou Tsuda	455	18
	13.	4,961,109	10/2/1990	Hideo Tanaka	725	2
	14.	4,829,558	5/9/1989	Russell Welsh	379	92.04
	15.	5,355,162	10/11/1994	Lauren Yazolino	725	116
	16.	5,182,639	1/26/1993	Jutamulia, et al.	348	32
	17.	4,879,736	11/7/1989	Bergman, et al.	378	181
	18.	6,025,837	2/15/2000	Matthews, et al.	345	721
	19.	5,410,344	4/25/1995	Guanes, et al.	725	46
	20.	5,155,591	10/13/1992	David Wachob	725	35
	21.	5,323,240	6/21/1994	Amano, et al.	348	731
	22.	4,724,491	2/9/1988	Tevor Lambert	386	1

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
6.	EP0355697A2	2/28/1990	Europe			
7.	WO 92/12599	7/23/1992	WIPO			
8.	WO 91/00670	1/10/1991	WIPO			
9.	WO 96/08109	3/14/1996	WIPO			
10.	WO 89/12370	12/14/1989	WIPO			

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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	John Hendricks	
	FILING October 1, 2001	GROUP 2611

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	23.	5,339,315	8/16/1994	Maeda, et al.	725	103
	24.	4,697,209	9/29/1987	Kiewit, et al.	725	19
	25.	5,481,294	1/2/1996	Thomas, et al.	725	20
	26.	5,477,263	12/19/1995	O'Callaghan, et al.	725	102
	27.	5,515,098	5/7/1996	John Carles	725	35
	28.	5,285,272	2/8/1994	Bradley, et al.	725	115
	29.	5,481,296	1/2/1996	Cragun, et al.	725	136
	30.	5,469,206	11/21/1995	Strubbe, et al.	725	60
	31.	4,694,490	9/15/1987	Harvey, et al.	380	234
	32.	5,661,516	8/26/1997	John Carles	725	35
	33.	4,381,522	4/26/1983	Trevor Lambert	725	93

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
11.	WO 92/17027	10/1/1992	WIPO			
12.	EP0620689A1	10/19/1994	Europe			
13.	EP0424648A2	5/2/1991	Europe			
14.	WO 99/26415	5/27/1999	WIPO			
15.	WO 99/66719	12/23/1999	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	34.	5,339,239	8/6/1994	Marabe, et al.	705	1	
	35.	4,751,578	6/14/1988	Reiter, et al.	348	564	
	36.	5,384,588	1/24/1995	Martin, et al.	348	14.1	
	37.	5,124,980	6/23/1992	Gerald Maki	370	498	
	38.	4,533,948	8/6/1985	Robert McNamara	380	211	
	39.	6,029,045	2/22/2000	Picco, et al.	725	34	
	40.	5,774,170	6/30/1998	Hite, et al.	725	34	
	41.	5,512,934	4/30/1996	Gregory Kochanski	725	97	
	42.	4,816,904	3/28/1989	McKenan, et al.	725	97	
	43.	5,957,695	9/28/1999	Redfore, et al.	434	307R	
	44.	5,479,508	12/26/1995	Bestler, et al.	380	240	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	16.	GB2344009A	5/24/2000	United Kingdom				
	17.	WO 99/52285	10/14/1999	WIPO				
	18.	EP 0 187 961 A2	7/23/86	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS

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	45.	5,640,196	6/17/1997	Behrens, et al.	348	14.02	
	46.	5,497,187	3/5/1996	Banker, et al.	725	14	
	47.	5,758,257	5/26/1998	Herz, et al.	725	116	
	48.	5,367,330	11/22/1994	Haave, et al.	725	191	
	49.	4,455,570	6/19/1984	Yoshifumi, et al.	725	92	
	50.	5,329,590	6/12/1994	Russell Pond	380	242	
	51.	6,025,837					

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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